



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Li, et al.  
  
Serial No.: 10/026,854  
  
Confirmation No.: Unknown  
  
Filed: December 20, 2001  
  
For: Articles for Polishing  
Semiconductor Substrates

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Group Art Unit: Unknown

Examiner: Unknown

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING 37 CFR 1.8	
I hereby certify that this correspondence is being deposited on May <u>20</u> , 2002 with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.	
<u>5/20/02</u> Date	<u>[Signature]</u> Signature

INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached  
Form PTO-1449.

Respectfully submitted,



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U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
(PTO Form 1449 modified)		APPM/5765	10/026,854
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Applicant	Confirmation No.:
		Li, et al.	Unknown
(Use several sheets if necessary)		Filing Date	Group
Examiner		December 20, 2001	1765

**U.S. Patent Documents**

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	4,793,895	12/27/1988	Kaanta, et al.	156	627	01/25/1988
	A2	4,934,102	06/19/1990	Leach, et al.	51	50 R	10/04/1988
	A3	5,217,586	06/08/1993	Datta, et al.	204	129.6	01/09/1992
	A4	5,225,034	07/06/1993	Yu, et al.	156	636	06/04/1992
	A5	5,534,106	07/09/1996	Cote, et al.	156	636.1	07/26/1994
	A6	5,543,032	08/06/1996	Datta, et al.	205	670	06/02/1995
	A7	5,567,300	10/22/1996	Datta, et al.	205	652	09/02/1994
	A8	5,575,706	11/19/1996	Tsai, et al.	451	41	01/11/1996
	A9	5,804,507	09/08/1998	Perlov, et al.	438	692	10/27/1995
	A10	5,807,165	09/15/1998	Uzoh, et al.	451	41	03/26/1997
	A11	5,846,882	12/08/1998	Birang	438	692	10/03/1996
	A12	5,871,392	02/16/1999	Meikle, et al.	451	56	06/13/1996
	A13	5,911,619	06/15/1999	Uzoh, et al.	451	5	03/26/1997
	A14	5,951,380	09/14/1999	Kim	451	65	12/19/1997

**Foreign Patent Documents**

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1	P2001-077,117	03/23/2001	JP	H01L	21/3205	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B2	98/49723	11/05/1998	WO	H01L	21/321	<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>

**OTHER ART**

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	Nogami, T. "An Innovation to Integrate Porous Low-K Materials and Copper", Interconnect Japan 2001, Honeywell Seminar, December 6, 2001, pp. 1-12

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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(Use several sheets if necessary)		Filing Date December 20, 2001	Group 1765
Examiner Unknown			

**U.S. Patent Documents**

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	A16	6,019,666	02/01/2000	Roberts, et al.	451	36	05/08/1998
	A17	6,024,630	02/15/2000	Shendon, et al.	451	41	06/09/1995
	A18	6,033,293	03/07/2000	Crevasse, et al.	451	494	10/08/1997
	A19	6,036,586	03/14/2000	Ward	451	287	07/29/1998
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	A24	6,248,222	06/19/2001	Wang	204	297.09	09/07/1999
	A25	6,258,223	07/10/2002	Cheung, et al.	204	242	07/09/1999
	A26	6,351,422	02/26/2002	Rohr, et al.	365	203	03/28/2001
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	A28	2001/0042690	11/22/2001	Talieh	205	118	12/14/2000

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*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
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							<input type="checkbox"/>	<input type="checkbox"/>

**OTHER ART**

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